

# INVESTMENT ADVISOR'S PARTNERS IN RETIREMENT

## 401(K) PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 401(K) PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 401(K) PLANS SERVICED <sup>1</sup>	YEARS IN 401(K) PLAN BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
THE VANGUARD GROUP	\$285.80	3,496	27	800-523-1036	<a href="http://www.vanguard.com">www.vanguard.com</a>
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$92.80	6,557	27	800-368-1225	<a href="http://www.wellsfargo.com">www.wellsfargo.com</a>
J.P. MORGAN RETIREMENT PLAN SERVICES	\$84.65	701	20	800-988-9084	<a href="http://www.jpmorgan.com/retirement">www.jpmorgan.com/retirement</a>
T. ROWE PRICE GROUP	\$79.10	2,116	27	800-638-7890	<a href="http://www.troweprice.com">www.troweprice.com</a>
PRUDENTIAL RETIREMENT	\$70.86	3,638	31	800-353-2847	<a href="http://www.prudential.com">www.prudential.com</a>
BANK OF AMERICA MERRILL LYNCH	\$66.00	1,261	37	888-550-7705	<a href="http://www.ml.com">www.ml.com</a>
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$63.24	27,136	30+	800-543-4015	<a href="http://www.principal.com">www.principal.com</a>
CHARLES SCHWAB 2	\$61.40	974	26	877-456-0777	<a href="http://www.scrs.schwab.com">www.scrs.schwab.com</a>
GREAT-WEST RETIREMENT SERVICES <sup>2</sup>	\$46.10	16,016	24	800-537-2033	<a href="http://www.gwrs.com">www.gwrs.com</a>
MASSMUTUAL FINANCIAL GROUP <sup>2</sup>	\$30.42	6,589	NA	866-444-2601	<a href="http://www.massmutual.com/retire">www.massmutual.com/retire</a>
ASCENSUS	\$22.40	24,441	29	800-345-6363	<a href="http://www.ascensus.com">www.ascensus.com</a>
OPPENHEIMERFUNDS	\$20.30	56,739	25+	800-734-5222	<a href="http://www.oppenheimerfunds.com">www.oppenheimerfunds.com</a>
FIDELITY INVESTMENTS INSTITUTIONAL SERVICES CO. INC.	\$20.10	3,533	11	800-684-5254	<a href="http://advisor.fidelity.com">http://advisor.fidelity.com</a>
MARSHALL & LISLEY TRUST COMPANY N.A.	\$17.10	768	28	800-342-2265	<a href="http://www.miretirement.com">www.miretirement.com</a>
PUTNAM INVESTMENTS	\$13.00	508	26	800-719-9914	<a href="http://www.putnam.com">www.putnam.com</a>
DIVERSIFIED INVESTMENT ADVISORS	\$12.60	8,000	27	800-770-6797	<a href="http://www.divinvest.com">www.divinvest.com</a>
THE STANDARD	\$10.47	3,981	28	877-805-1127	<a href="http://www.standard.com">www.standard.com</a>
TRANSAMERICA RETIREMENT SERVICES	\$9.99	14,411	26	888-401-5826	<a href="http://www.ta-retirement.com">www.ta-retirement.com</a>
TD AMERITRADE TRUST COMPANY	\$7.40	2,200	13	877-270-6892	<a href="http://www.tdainstitutional.com">www.tdainstitutional.com</a>
THE NEWPORT GROUP	\$6.28	1,216	25	407-333-2905	<a href="http://www.newportgroup.com">www.newportgroup.com</a>
SECURIAN FINANCIAL GROUP, INC.	\$6.11	2,596	NA	877-876-4015	<a href="http://www.securian.com">www.securian.com</a>
DWS INVESTMENTS <sup>2</sup>	\$5.78	NA	25	617-295-1000	<a href="http://www.dws-investments.com">www.dws-investments.com</a>
DAILYACCESS CORP.	\$5.60	1,365	13	888-535-4322	<a href="http://www.dailyaccess.com">www.dailyaccess.com</a>
AMERICAN UNITED LIFE INSURANCE CO. <sup>3</sup>	\$5.11	4,771	26	866-313-7355	<a href="http://www.oneamerica.com">www.oneamerica.com</a>
FIFTH THIRD INSTITUTIONAL SERVICES	\$3.70	871	36	800-820-9173	<a href="http://www.53.com">www.53.com</a>
UNIFI COMPANIES RETIREMENT PLANS <sup>2 5</sup>	\$2.14	3,136	39	800-923-2732	<a href="http://retire.unifcompanies.com">retire.unifcompanies.com</a>
SENTINEL BENEFITS & FINANCIAL GROUP	\$1.77	971	NA	781-914-1200	<a href="http://www.sentinelgroup.com">www.sentinelgroup.com</a>
CALIFORNIA INVESTMENT TRUST	\$1.00	170	9	800-225-8778	<a href="http://www.caltrust.com">www.caltrust.com</a>
RIVERSOURCE INVESTMENTS	\$0.44	1,895	14	888-597-1553	<a href="http://www.riversource.com">www.riversource.com</a>
SECURITY BENEFIT	\$0.20	495	35	800-888-2461	<a href="http://www.securitybenefit.com">www.securitybenefit.com</a>
PLANMEMBER SECURITIES	\$0.10	50	8	800-874-6910	<a href="http://www.joinplanmember.com">www.joinplanmember.com</a>

<sup>1</sup>All data is as of July 31, 2009, unless otherwise indicated, and was supplied by the companies themselves. *Investment Advisor* has not independently confirmed the data.

<sup>2</sup>As of June 30, 2009. <sup>3</sup>A OneAmerica Company. <sup>4</sup>Includes fully-bundled defined contribution, defined benefits and non-qualified deferred compensation plans.

<sup>5</sup>An affiliation of Ameritas Life Ins. corp. and the Union Central Life Ins. Company's retirement plans division.

# INVESTMENT ADVISOR'S PARTNERS IN RETIREMENT

## TOP 10 403(B) PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 403(B) PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 403(B) PLANS SERVICED <sup>1</sup>	YEARS IN 403(B) PLAN BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
THE VANGUARD GROUP	\$21.40	259	30	800-523-1036	www.vanguard.com
DIVERSIFIED INVESTMENT ADVISORS	\$11.20	858	46	800-770-6797	www.divinvest.com
GREAT-WEST RETIREMENT SERVICES <sup>2</sup>	\$8.40	2,580	47	800-537-2033	www.gwrs.com
PRUDENTIAL RETIREMENT	\$7.74	1,184	46	800-353-2847	www.prudential.com
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$4.83	1,345	20+	800-543-4015	www.principal.com
OPPENHEIMERFUNDS	\$4.00	25,201	10+	800-734-5222	www.oppenheimerfunds.com
MASSMUTUAL FINANCIAL GROUP <sup>2</sup>	\$3.85	63	NA	866-444-2601	www.massmutual.com/retire
SECURITY BENEFIT	\$2.70	685	35	800-888-2461	www.securitybenefit.com
AMERICAN UNITED LIFE INSURANCE CO. <sup>3</sup>	\$2.45	4,144	46	866-313-7355	www.oneamerica.com
T. ROWE PRICE GROUP	\$1.30	26	27	800-638-7890	www.troweprice.com

## TOP 10 457 PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 457 PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 457 PLANS SERVICED <sup>1</sup>	YEARS IN 457 PLAN BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
OPPENHEIMERFUNDS	\$100.70	171	10+	800-734-5222	www.oppenheimerfunds.com
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$93.40	36	38	800-368-1225	www.wellsfargo.com
GREAT-WEST RETIREMENT SERVICES <sup>2</sup>	\$33.80	840	33	800-537-2033	www.gwrs.com
PRUDENTIAL RETIREMENT	\$16.85	728	31	800-353-2847	www.prudential.com
THE VANGUARD GROUP	\$6.50	171	10	800-523-1036	www.vanguard.com
T. ROWE PRICE GROUP	\$4.20	37	27	800-638-7890	www.troweprice.com
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$1.15	257	20+	800-543-4015	www.principal.com
AMERICAN UNITED LIFE INSURANCE CO. <sup>3</sup>	\$0.78	935	46	866-313-7355	www.oneamerica.com
J.P. MORGAN RETIREMENT PLAN SERVICES	\$0.54	6	20	800-988-9084	www.jpmorgan.com/retirement
PLANMEMBER SECURITIES	\$0.50	15	4	800-874-6910	www.joinplanmember.com

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<sup>2</sup>As of June 30, 2009. <sup>3</sup>A OneAmerica Company. <sup>4</sup>Includes fully-bundled defined contribution, defined benefits and non-qualified deferred compensation plans.

<sup>5</sup>An affiliation of Ameritas Life Ins. corp. and the Union Central Life Ins. Company's retirement plans division.

# INVESTMENT ADVISOR'S PARTNERS IN RETIREMENT

## TOP 10 DEFINED BENEFIT PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL DEFINED BENEFITS ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL DEFINED BENEFITS PLANS SERVICED <sup>1</sup>	YEARS IN DEFINED BENEFIT BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$62.80	1,177	38	800-368-1225	www.wellsfargo.com
OPPENHEIMERFUNDS	\$32.10	157	5	800-734-5222	www.oppenheimerfunds.com
THE VANGUARD GROUP	\$18.50	368	13	800-523-1036	www.vanguard.com
PRUDENTIAL RETIREMENT	\$14.36	1,168	85	800-353-2847	www.prudential.com
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$10.09	2,698	50+	800-543-4015	www.principal.com
BANK OF AMERICA MERRILL LYNCH	\$8.70	48	37	888-550-7705	www.ml.com
DIVERSIFIED INVESTMENT ADVISORS	\$6.70	221	10	800-770-6797	www.divinvest.com
MARSHALL & ILSLEY TRUST COMPANY N.A.	\$4.50	134	51	800-342-2265	www.miretirement.com
MASSMUTUAL FINANCIAL GROUP <sup>2</sup>	\$4.24	210	NA	866-444-2601	www.massmutual.com/retire
THE NEWPORT GROUP	\$3.580	139	25	407-333-2905	www.newportgroup.com

## TOP 10 FULL SERVICE PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL FULL SERVICE ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL FULL SERVICE PLANS SERVICED <sup>1</sup>	YEARS IN FULL SERVICE BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
THE VANGUARD GROUP	\$221.200	2,516	27	800-523-1036	www.vanguard.com
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$98.400	7,428	27	800-368-1225	www.wellsfargo.com
PRUDENTIAL RETIREMENT	\$96.480	6,209	46	800-353-2847	www.prudential.com
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$92.073	41,233	30+	800-543-4015	www.principal.com
BANK OF AMERICA MERRILL LYNCH	\$82.8 <sup>4</sup>	1,670 <sup>4</sup>	37	888-550-7705	www.ml.com
J.P. MORGAN RETIREMENT PLAN SERVICES	\$73.488	847	20	800-988-9084	www.jpmorgan.com/retirement
CHARLES SCHWAB 2	\$67.300	1,184	26	877-456-0777	www.scrs.schwab.com
GREAT-WEST RETIREMENT SERVICES <sup>2</sup>	\$47.700	11,924	NA	800-537-2033	www.gwrs.com
DIVERSIFIED INVESTMENT ADVISORS	\$34.500	2,479	50+	800-770-6797	www.divinvest.com
ASCENSUS	\$22.430	24,499	29	800-345-6363	www.ascensus.com

<sup>1</sup>All data is as of July 31, 2009, unless otherwise indicated, and was supplied by the companies themselves. *Investment Advisor* has not independently confirmed the data.

<sup>2</sup>As of June 30, 2009. <sup>3</sup>A OneAmerica Company. <sup>4</sup>Includes fully-bundled defined contribution, defined benefits and non-qualified deferred compensation plans.

<sup>5</sup>An affiliation of Ameritas Life Ins. corp. and the Union Central Life Ins. Company's retirement plans division.

# INVESTMENT ADVISOR'S PARTNERS IN RETIREMENT

## TOP 10 INVESTMENT ONLY PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL INVEST. ONLY PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL INVEST. ONLY PLANS SERVICED <sup>1</sup>	YEARS IN INVEST. ONLY BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
THE VANGUARD GROUP	\$450.300	5,837	34	800-523-1036	<a href="http://www.vanguard.com">www.vanguard.com</a>
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$405.300	NA	77	800-368-1225	<a href="http://www.wellsfargo.com">www.wellsfargo.com</a>
BANK OF AMERICA MERRILL LYNCH	\$54.700	39,514	37	888-550-7705	<a href="http://www.ml.com">www.ml.com</a>
T. ROWE PRICE GROUP	\$49.300	NA	27	800-638-7890	<a href="http://www.troweprice.com">www.troweprice.com</a>
THE NEWPORT GROUP	\$29.000	NA	NA	407-333-2905	<a href="http://www.newportgroup.com">www.newportgroup.com</a>
DWS INVESTMENTS <sup>2</sup>	\$28.197	NA	25	617-295-1000	<a href="http://www.dws-investments.com">www.dws-investments.com</a>
PRINCIPAL FINANCIAL GROUP <sup>2</sup>	\$16.992	382	NA	800-543-4015	<a href="http://www.principal.com">www.principal.com</a>
PUTNAM INVESTMENTS	\$13.540	NA	31	800-719-9914	<a href="http://www.putnam.com">www.putnam.com</a>
J.P. MORGAN RETIREMENT PLAN SERVICES	13.4 <sup>2</sup>	0	20	800-988-9084	<a href="http://www.jpmorgan.com/retirement">www.jpmorgan.com/retirement</a>
OPPENHEIMERFUNDS	\$10.500	NA	25+	800-734-5222	<a href="http://www.oppenheimerfunds.com">www.oppenheimerfunds.com</a>

## TOP 10 RECORDKEEPING ONLY PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL RECORD-KEEPING ONLY ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL RECORD-KEEPING ONLY PLANS SERVICED <sup>1</sup>	YEARS IN RECORD-KEEPING ONLY BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
GREAT-WEST RETIREMENT SERVICES <sup>2</sup>	\$52.10	9,325	NA	800-537-2033	<a href="http://www.gwrs.com">www.gwrs.com</a>
J.P. MORGAN RETIREMENT PLAN SERVICES	\$26.01	23	20	800-988-9084	<a href="http://www.jpmorgan.com/retirement">www.jpmorgan.com/retirement</a>
WELLS FARGO INSTITUTIONAL RETIREMENT AND TRUST	\$10.00	929	7	800-368-1225	<a href="http://www.wellsfargo.com">www.wellsfargo.com</a>
PRUDENTIAL RETIREMENT	\$9.24	381	NA	800-353-2847	<a href="http://www.prudential.com">www.prudential.com</a>
THE NEWPORT GROUP	\$6.91	1,407	25	407-333-2905	<a href="http://www.newportgroup.com">www.newportgroup.com</a>
TRANSAMERICA RETIREMENT SERVICES	\$1.70	2,780	NA	888-401-5826	<a href="http://www.ta-retirement.com">www.ta-retirement.com</a>
ASCENSUS	\$1.54	993	29	800-345-6363	<a href="http://www.ascensus.com">www.ascensus.com</a>
UNIFI COMPANIES RETIREMENT PLANS <sup>2 5</sup>	\$1.20	1,312	46	800-923-2732	<a href="http://retire.unifcompanies.com">retire.unifcompanies.com</a>
AMERICAN UNITED LIFE INSURANCE CO. <sup>3</sup>	\$0.94	854	46	866-313-7355	<a href="http://www.oneamerica.com">www.oneamerica.com</a>
CHARLES SCHWAB <sup>2</sup>	\$0.60	NA	8	877-456-0777	<a href="http://www.scrs.schwab.com">www.scrs.schwab.com</a>

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